

<b>Regular mail:</b> Impax Funds P.O. Box 534463 Pittsburgh, PA 15253-4463	<b>Overnight mail:</b> Impax Funds Attention: 534463 500 Ross Street, 154-0520 Pittsburgh, PA 15262 Telephone: 1 (800) 372 7827
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## SIMPLE IRA Transfer of Assets

Use this form to request a transfer of SIMPLE IRA assets held with another custodian to your Impax Funds SIMPLE IRA. Based on your instructions, BNY Mellon Investment Servicing Trust Company will initiate the transfer for you. If you reached or passed the age Required Minimum Distributions begin (age 70½ if you were born on or prior to June 30, 1949 and age 72 if you were born on or after July 1, 1949), you are responsible for distributing any required minimum distribution amounts from your current SIMPLE IRA in advance of the transfer. Please remember the transfer of assets can only occur between SIMPLE IRA accounts. Incomplete information will result in delays in processing your request.

**Fields noted with an asterisk (\*) are required.**

### 1. Participant Information

Name*		Social Security/Tax ID No.*	
Mailing Address*	City*	State*	Zip Code*
Day Telephone	Evening Telephone	Cell Telephone	
Email Address			

Check here if contact information above is new. The new information will replace the information currently on file.

### 2. Invest Instructions (complete items A and B)

#### A. Choose one

- I am opening a new SIMPLE IRA and have attached the required **SIMPLE IRA Application**.
- Deposit the proceeds into my existing SIMPLE IRA Account: #

#### B. Please purchase into the following fund(s): (Minimum initial investment is \$1,000)

	Amount to be invested			Investor Class	Class A
	\$	OR	%		
Impax Large Cap Fund	\$	OR	%	<input type="checkbox"/> 3050	
Impax Small Cap Fund	\$	OR	%	<input type="checkbox"/> 3048	<input type="checkbox"/> 3098
Impax US Sustainable Economy Fund	\$	OR	%	<input type="checkbox"/> 3042	<input type="checkbox"/> 3092
Impax Global Sustainable Infrastructure Fund	\$	OR	%	<input type="checkbox"/> 3041	
Impax Global Opportunities Fund	\$	OR	%	<input type="checkbox"/> 3051	
Impax Global Environmental Markets Fund	\$	OR	%	<input type="checkbox"/> 3049	<input type="checkbox"/> 3099
Impax Ellevest Global Women's Leadership Fund	\$	OR	%	<input type="checkbox"/> 3046	
Impax Global Social Leaders Fund	\$	OR	%	<input type="checkbox"/> 3052	
Impax International Sustainable Economy Fund	\$	OR	%	<input type="checkbox"/> 3047	
Impax Core Bond Fund	\$	OR	%	<input type="checkbox"/> 3045	
Impax High Yield Bond Fund	\$	OR	%	<input type="checkbox"/> 3044	<input type="checkbox"/> 3094
Impax Sustainable Allocation Fund	\$	OR	%	<input type="checkbox"/> 3040	

**3. Current Custodian and Account Information**

Please attach your most recent statement, if possible. Note: your current custodian may require a Medallion Signature Guarantee to process your transfer request. Please see the **Participant Authorization** section for an explanation of the Medallion Signature Guarantee.

Name of Current Custodian or Agent*	Daytime Telephone of Current Custodian or Agent		
Address of Current Custodian or Agent*	City*	State*	Zip Code*

**1. Investment to transfer**

Account Number	Share Class
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- Liquidate Entire Account  
 Partial Dollar Amount \$  or Number of Shares   
 Transfer In-Kind

For Certificate of Deposits:  Immediately<sup>1</sup>  At Maturity: Date

<sup>1</sup> **Note:** If you wish to have certificates of deposit transferred immediately and they have not matured, you may incur a redemption penalty. We cannot accept requests to transfer assets from certificates of deposit more than 60 days before their maturity.

**2. Investment to transfer**

Account Number	Share Class
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- Liquidate Entire Account  
 Partial Dollar Amount \$  or Number of Shares   
 Transfer In-Kind

For Certificate of Deposits:  Immediately<sup>1</sup>  At Maturity: Date

<sup>1</sup> **Note:** If you wish to have certificates of deposit transferred immediately and they have not matured, you may incur a redemption penalty. We cannot accept requests to transfer assets from certificates of deposit more than 60 days before their maturity.

**4. Participant Authorization**

*I authorize the transfer of assets as noted above to my Impax Funds SIMPLE IRA and authorize my current custodian, Impax Funds and BNY Mellon Investment Servicing Trust Company to process this request on my behalf. I understand it is my responsibility to insure the prompt transfer of assets by the current custodian. I have read and understand all information on this form and hereby provide the applicable authorization.*

Participant's Signature	Date
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**Medallion Signature Guarantee (if required by your current custodian):** An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

<b>Medallion Signature Guarantee</b>
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